

# FATF 40 Recommendations: Consolidated ratings for technical compliance

Fourth round evaluations

Version: 7 November 2018 - 62 jurisdictions

# LICENCE TERMS

Licence/royalty free for research and news media fair use.

(PowerPoint format may be available on request)

Attribute to Dr R F Pol/AMLassurance.com © 2013-2018, all rights reserved.

Not for commercial use, except by prior arrangement in writing.

Indicative only. Rely only on FATF source data.

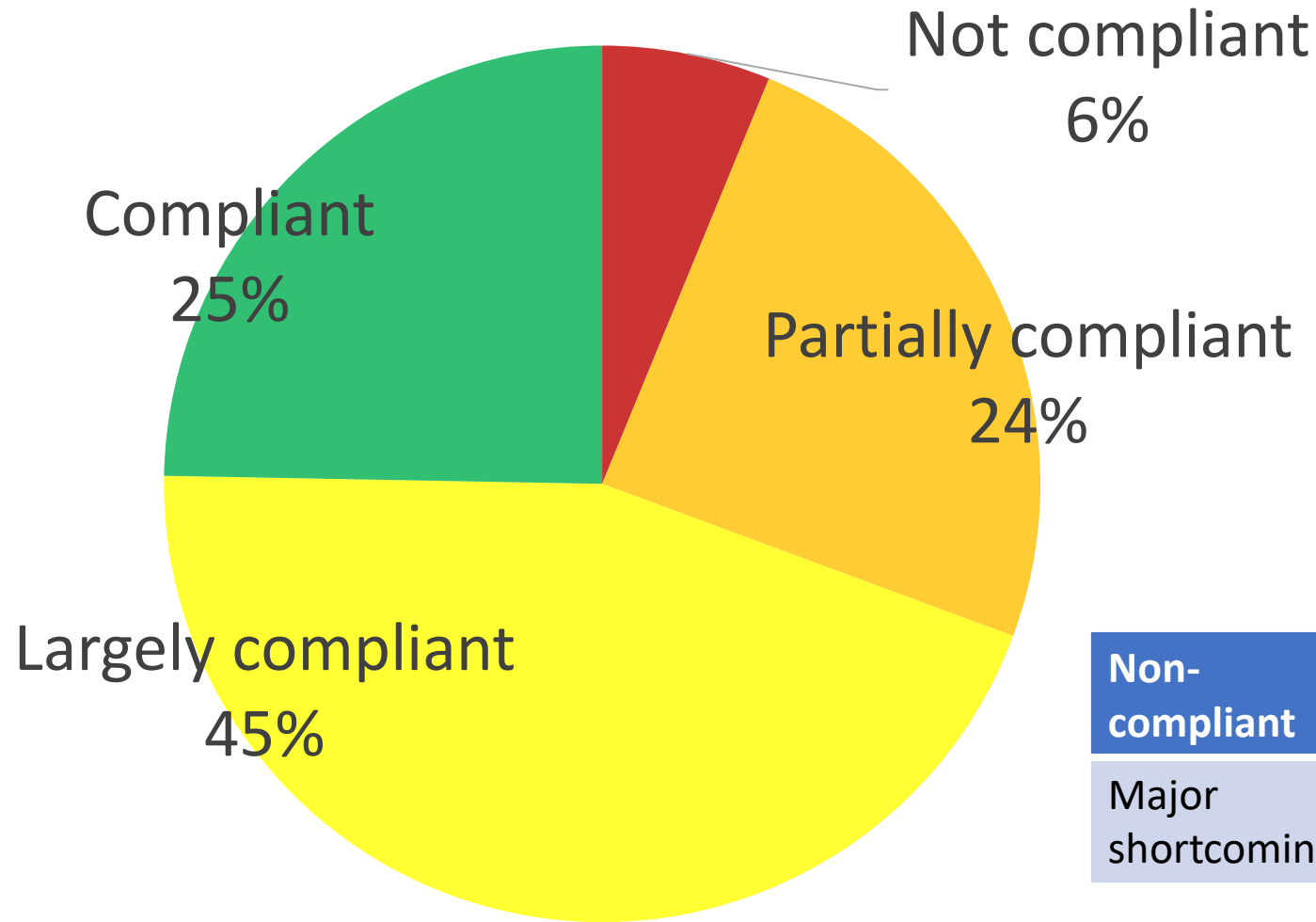
We appreciate being notified of your use of these materials, eg in articles etc.

Email: [Ronald.Pol@AMLassurance.com](mailto:Ronald.Pol@AMLassurance.com)

Additional materials available at: [www.amlassurance.com](http://www.amlassurance.com)

# Technical compliance with FATF 40 recommendations

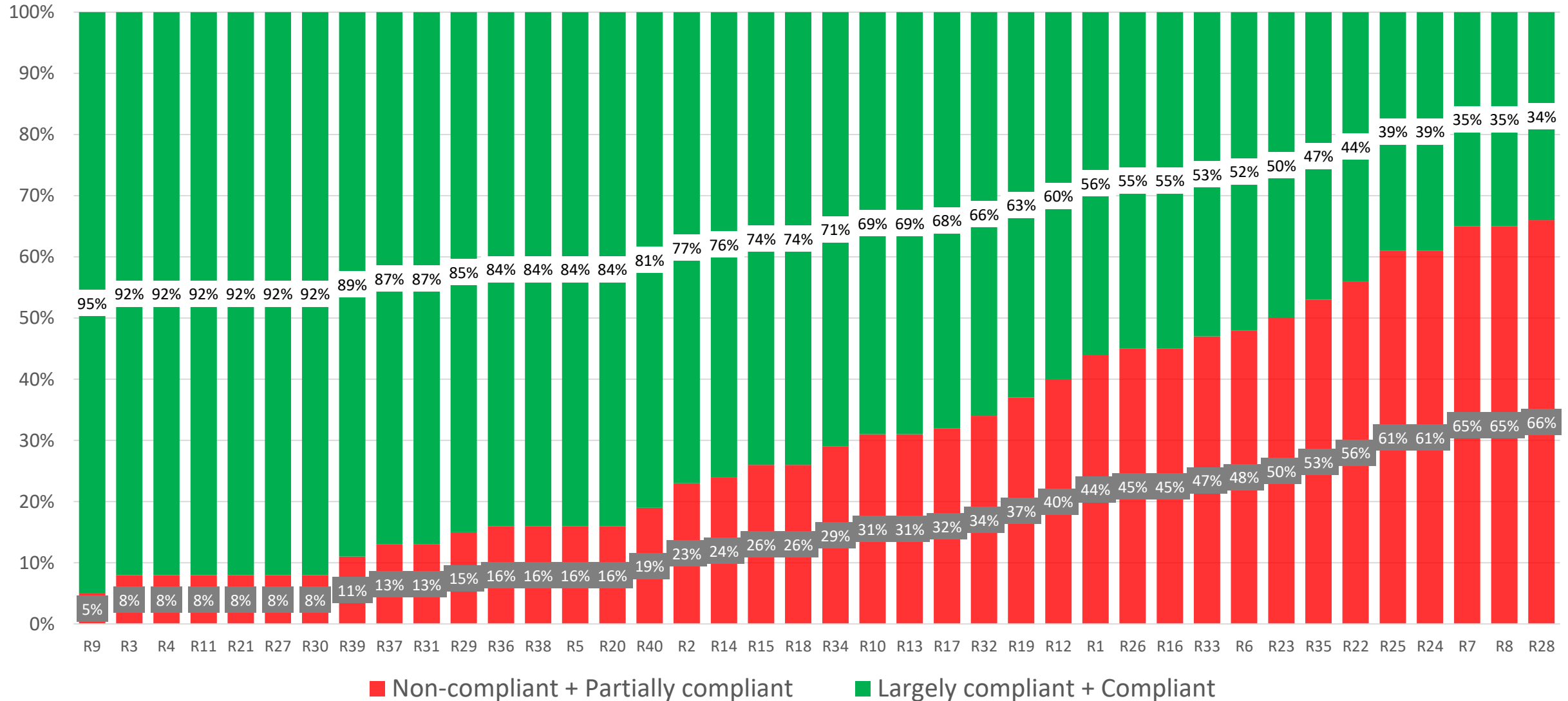
All ratings % (5 Dec 2014 - 8 Nov 2018) 62 jurisdictions



Non-compliant	Partially compliant	Largely compliant	Compliant
Major shortcomings	Moderate shortcomings	Minor shortcomings	No shortcomings

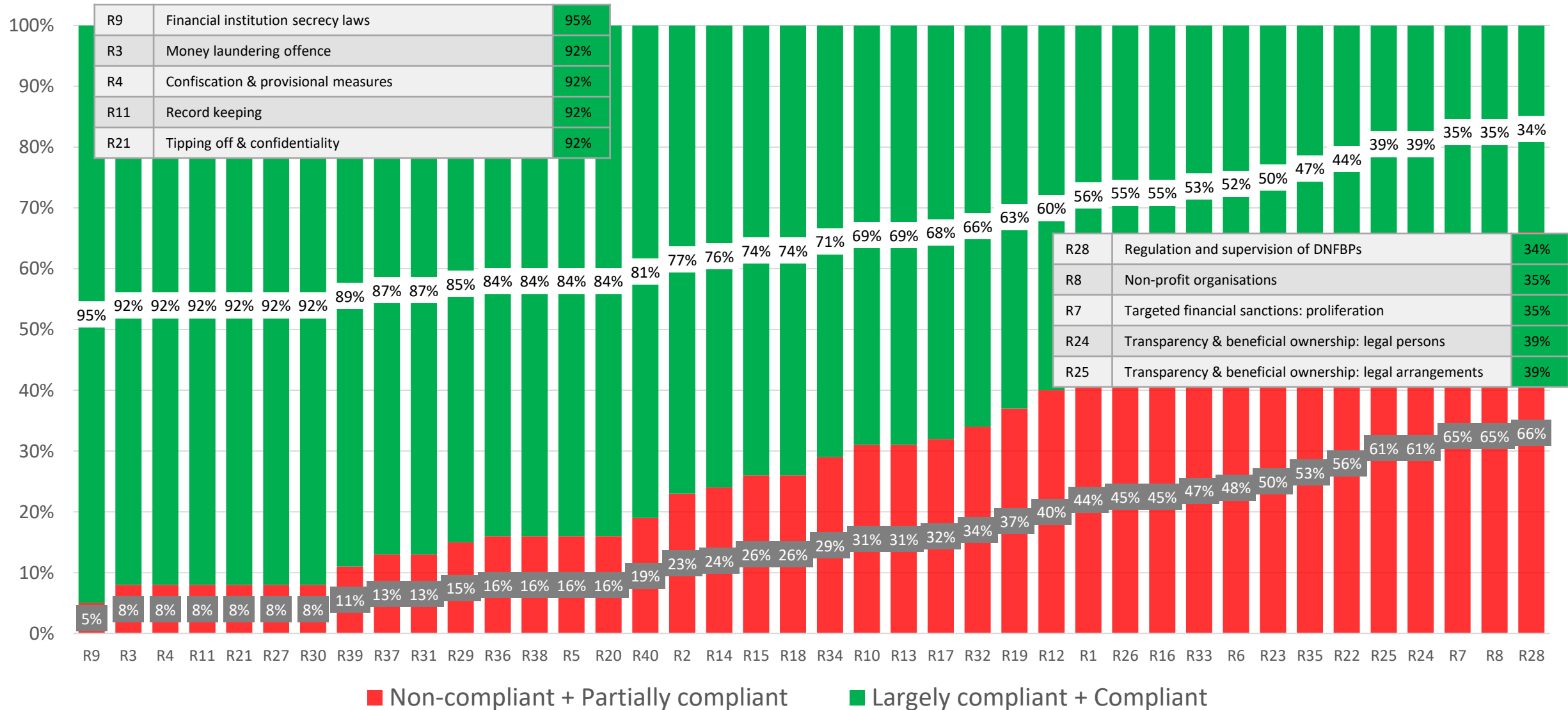
# Technical compliance with FATF 40 recommendations

All ratings, grouped (5 Dec 2014 - 8 Nov 2018) 62 jurisdictions



# Technical compliance with FATF 40 recommendations

All ratings, grouped (5 Dec 2014 - 8 Nov 2018) 62 jurisdictions



# Fourth round jurisdictions assessed

5 Dec 2014 - 8 Nov 2018 62 jurisdictions

- Andorra
- Antigua and Barbuda
- Armenia
- Australia
- Austria
- Bahamas
- Bahrain
- Bangladesh
- Barbados
- Belgium
- Bhutan
- Botswana
- Cambodia
- Canada
- Cook Islands
- Costa Rica
- Cuba
- Denmark
- Dominican Republic
- Ethiopia
- Fiji
- Ghana
- Guatemala
- Honduras
- Hungary
- Iceland
- Indonesia
- Ireland
- Isle of Man
- Italy
- Jamaica
- Kyrgyzstan
- Latvia
- Macao
- Madagascar
- Malaysia
- Mauritius
- Mexico
- Mongolia
- Myanmar
- Nicaragua
- Norway
- Palau
- Panama
- Portugal
- Samoa
- Saudi Arabia
- Serbia
- Singapore
- Slovenia
- Spain
- Sri Lanka
- Sweden
- Switzerland
- Thailand
- Trinidad & Tobago
- Tunisia
- Uganda
- Ukraine
- United States of America
- Vanuatu
- Zimbabwe

# AUTHOR RELEVANT ARTICLES

## [Laundry-wash: FATF ratings clean the toughest stain](#)

- Ronald F Pol, *Interest.co.nz* <https://bit.ly/2PfFvBa> and *LinkedIn* (with PDF): <https://bit.ly/2PlduYT>

More than 120 jurisdictions' anti-money laundering systems will be evaluated in the next decade. Leaked results from the UK's 'mutual evaluation' reveal an effectiveness deficit flagged in scientific research, and opportunities for countries to boost FATF ratings.

## [Visualising all AML/CTF evaluations](#)

- Ronald F Pol, *LinkedIn*: <https://bit.ly/2RUJbqn>

The large number of evaluations in the "fourth round" of country-level AML/CFT evaluations (2014-) and the way they are presented makes it difficult to visualise global results, compare countries, and compare ratings. This article releases some of my own resources developed for 'at-a-glance' system-wide insights.

## [Uncomfortable truths? ML=BS and AML=BS<sup>2</sup>](#)

- Ronald F Pol, *Journal of Financial Crime*, 2018, Vol 25 No 2 (2018): <http://dx.doi.org/10.1108/JFC-08-2017-0071>

This article applies outcome effectiveness principles to anti-money laundering, exposing and expanding the industry's open secret: it is almost completely ineffective. If your organization does not have access rights to academic journals, the full article is available for a small charge from the publisher.

## [Anti-money laundering effectiveness: Assessing outcomes or ticking boxes?](#)

- Ronald F Pol, *Journal of Money Laundering Control*, 2018, Vol 21 No 2 (2018): <http://dx.doi.org/10.1108/JMLC-07-2017-0029>

The first independent assessment applying outcome effectiveness principles to the new global methodology for evaluating anti-money laundering effectiveness based on specified outcomes. This article explains why the new methodology is incapable of assessing effectiveness as it purports.

- our organization does not have access rights to academic journals, the full article is available for a small charge from the publisher.

## [Anti-money laundering effectiveness ratings: Ranking countries and outcomes](#)

- Ronald F Pol, *ACAMS Today*, Dec 2017-Feb 2018.

There is no official consolidated 'effectiveness' country rating or ranking. This article offers simple new ways to rank country ratings.

- *LinkedIn* (author summary & link to source): <https://bit.ly/2q9KGEH>

Access to the full article may depend on your organization's ACAMS subscription access.